



THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

#5

W. L. Langer

8/1/02

RECEIVED

JUL 24 2002

Technology Center 2600

Applicant : Thomas E. Ward III, et al.  
Application No. : 10/066,811  
Filed : February 4, 2002  
Title : SYSTEM AND METHOD FOR MODIFYING  
ADVERTISEMENT RESPONSIVE TO EPG  
INFORMATION  
Grp./Div. : 2611  
Examiner : To be assigned  
Docket No. : 47840/RRT/I148

COPY OF PAPERS  
ORIGINALLY FILED

SUPPLEMENTAL LETTER TO CORRECT FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
May 17, 2002

Commissioner:

In response to the U.S. Patent Office' Response to Request for Corrected Filing Receipt and pursuant to this Supplemental Letter to Correct Filing Receipt, please correct the following error in the filing receipt:

In the "Domestic Priority data as Claimed by Applicant" section, please delete the entire section and replace with:

--THIS APPLICATION IS A CON OF 09/595,216 06/16/2000  
WHICH IS A CON OF 09/120/488 07/21/1998  
WHICH CLAIMS BENEFIT OF 60/055,237 08/12/1997  
AND CLAIMS BENEFIT OF 60/068,375 12/22/1997  
AND CLAIMS BENEFIT OF 60/053,330 07/21/1997  
AND CLAIMS BENEFIT OF 60/055,761 08/14/1997  
AND CLAIMS BENEFIT OF 60/061,119 10/06/1997  
AND CLAIMS BENEFIT OF 60/071,811 01/20/1998  
AND CLAIMS BENEFIT OF 60/071,812 01/20/1998  
AND CLAIMS BENEFIT OF 60/071,882 01/20/1998  
AND CLAIMS BENEFIT OF 60/034,784 12/19/1996

I HEREBY CERTIFY THAT THIS CORRESPONDENCE  
IS BEING DEPOSITED WITH THE U.S. POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO: ASSISTANT COMMISSIONER FOR  
PATENT, WASHINGTON, D.C. 20231 ON

5-17-02  
DATE

Clara Magallon  
SIGNATURE

Application No. 10/066,811

Enclosed is the Office copy of the Patent Office' Response to Request for Corrected Filing Receipt as well as a copy of the Filing Receipt with the changes made in red ink. Also enclosed is a copy of a Preliminary Amendment correcting the cross-reference paragraph of the Specification which is being filed concurrently. Please forward a Corrected Filing Receipt to the undersigned.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By



Raymond R. Tabandeh  
Reg. No. 43,945  
(626) 795-9900

RRT/cam

Enclosure: Copy of Filing Receipt  
Copy of Preliminary Amendment

CAM PAS436106.1.\*-5/17/02 3:37 PM

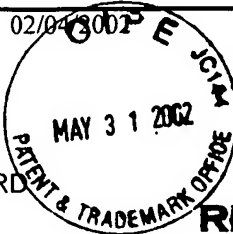


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/066,811	02/04/2002	Thomas E. Ward III	47840/RRT/1148

23363  
CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105



RECEIVED

MAY 09 2002

CONFIRMATION NO. 6065



Date Mailed: 05/02/2002

CASE # 47840 ACTION Request Denied Christie, Parker & Hale, LLP  
REMINDER 6/2/02 - to Resubmit  
DEADLINE 6/2/02

# RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

COPY OF PAPERS  
ORIGINALY FILED

## Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...). *37/120, 402*
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

RECEIVED  
JUL 24 2002  
Technology Center 2000

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

---

*A copy of this notice **MUST** be returned with the reply.*

L. B. ERNE  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



Page 1 of 2  
① WWM  
② RRT

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/066,811	02/04/2002	2611	1592	47840/RRT/I148	12	58	5

CONFIRMATION NO. 6065

23363  
CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105

FILING RECEIPT



\*OC000000007574237\*

RECEIVED

MAR 08 2002

Christie, Parker & Hale, LLP

Date Mailed: 03/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

Applicant(s)

Thomas E. Ward III, Weston, MA;  
Kenneth Hancock, Nashua, NH;  
Douglas B. Macrae, Weston, MA;

Assignment For Published Patent Application

EGuide, Inc.;

I148:  
47840  
JUL 24 2002  
Technology Center 2600  
CASE # ACTION  
REMINDER DUE DATE  
DEADLINE

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/595,216 06/16/2000 WHICH IS A CON OF 09/120/488 07/24/1998  
WHICH CLAIMS BENEFIT OF 60/055,237 08/12/1997  
AND CLAIMS BENEFIT OF 60/068,375 12/22/1997  
AND CLAIMS BENEFIT OF 60/053,330 07/21/1997  
AND CLAIMS BENEFIT OF 60/055,761 08/14/1997  
AND CLAIMS BENEFIT OF 60/061,119 10/06/1997  
AND CLAIMS BENEFIT OF 60/071,811 01/20/1998  
AND CLAIMS BENEFIT OF 60/071,812 01/20/1998  
AND CLAIMS BENEFIT OF 60/071,882 01/20/1998  
AND CLAIMS BENEFIT OF 60/034,784 12/19/1996

COPY OF PAPERS  
ORIGINALLY FILED

Foreign Applications

PCT/US97/23852 12/19/1997

If Required, Foreign Filing License Granted 03/04/2002

Projected Publication Date: 06/13/2002

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

System and method for modifying advertisement responsive to EPG information

**Preliminary Class**

705

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/066,811	02/04/2002	2611	1592	47840/RRT/I148	12	58	5

23363  
CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105

CONFIRMATION NO. 6065

## CORRECTED FILING RECEIPT



\*OC000000008564196\*

Date Mailed: 08/02/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Thomas E. Ward III, Weston, MA;  
Kenneth Hancock, Nashua, NH;  
Douglas B. Macrae, Weston, MA;

### Assignment For Published Patent Application

EGuide, Inc.;

### Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/595,216 06/16/2000  
WHICH IS A CON OF 09/120,488 07/21/1998 PAT 6,177,931  
WHICH CLAIMS BENEFIT OF 60/055,237 08/12/1997  
AND CLAIMS BENEFIT OF 60/068,375 12/22/1997  
AND CLAIMS BENEFIT OF 60/053,330 07/21/1997  
AND CLAIMS BENEFIT OF 60/055,761 08/14/1997  
AND CLAIMS BENEFIT OF 60/061,119 10/06/1997  
AND CLAIMS BENEFIT OF 60/071,811 01/20/1998  
AND CLAIMS BENEFIT OF 60/071,812 01/20/1998  
AND CLAIMS BENEFIT OF 60/071,882 01/20/1998  
AND CLAIMS BENEFIT OF 60/034,784 12/19/1996

### Foreign Applications

PCT/US97/23852 12/19/1997

If Required, Foreign Filing License Granted 03/04/2002

Projected Publication Date: Not Applicable

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**  
System and method for modifying advertisement responsive to EPG information

**Preliminary Class**  
705

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15 (b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).